

State of New Hampshire

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William M. Gardner
Secretary of State

Filing fee: \$35.00
Use black print or type.

Form LLC-3
RSA 304-C:34

LIMITED LIABILITY COMPANY CERTIFICATE OF AMENDMENT TO THE CERTIFICATE OF FORMATION

PURSUANT TO THE PROVISIONS of Chapter 304-C, Section 34 of the New Hampshire Revised Statutes Annotated, the undersigned submits the following certificate of amendment:

FIRST: The name of the limited liability company is Compass Rose Investments, LLC

SECOND: The text of each amendment is:

SECOND: The nature of the primary business or purposes are to provide investment planning and brokerage services offered through a registered investment advisor and registered broker dealer to corporations, firms, associations, individuals and others; to engage in any and all other activities as may be incidental or convenient to carry out the business; and to do all other things allowable by law.

[If more space is needed, attach additional sheet(s)]

*Signature: _____

James M. O'Donoghue

Print or type name: _____

James M. O'Donoghue

*Title: _____

Manager

(Enter "manager" or "member")

Date signed: _____

5/9/14

* Signature and title of person signing for the limited liability company. **MUST BE SIGNED BY A MANAGER OF THE LIMITED LIABILITY COMPANY. IF NO MANAGER, IT MUST BE SIGNED BY A MEMBER.** (If the limited liability company is in the hands of a receiver, executor, or other court appointed fiduciary, trustee, or other fiduciary, it must be signed by that fiduciary.)

DISCLAIMER: All documents are subject to public inspection in either tail

Mail fee and DATED AND S
Concord, NH 03301-4989.

State of New Hampshire
Form LLC 3 - Certificate of Amendment 1 Page(s)



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be available for

07 North Main Street,

Form LLC-3 (1/2013)