

# State of New Hampshire

Filing fee: \$50.00  
Fee for Form SRA: \$50.00  
Total fees: \$100.00

Form 40  
RSA 293-A:15.03

Use black print or type.  
Form must be single-sided on 8 1/2" x 11" paper;  
double sided copies will not be accepted.

## APPLICATION FOR CERTIFICATE OF AUTHORITY FOR PROFIT FOREIGN CORPORATION

TO THE SECRETARY OF STATE OF THE STATE OF NEW HAMPSHIRE

PURSUANT TO THE PROVISIONS OF THE NEW HAMPSHIRE BUSINESS CORPORATION ACT,  
THE UNDERSIGNED CORPORATION HEREBY APPLIES FOR A CERTIFICATE OF AUTHORITY  
TO TRANSACT BUSINESS IN NEW HAMPSHIRE AND FOR THAT PURPOSE SUBMITS THE  
FOLLOWING STATEMENT:

FIRST: The name of the corporation is Nott's Excavating, Inc.

SECOND: The name which it elects to use in New Hampshire is Nott's Excavating, Inc.

THIRD: It is incorporated under the laws of Vermont

FOURTH: The date of its incorporation is 3/8/99 and  
the period of its duration is Ongoing

FIFTH: The complete address (including zip code and post office box, if any) of its principal office is  
1870 Jericho St., WRIJct VT 05001

SIXTH: The name of its registered agent IN NEW HAMPSHIRE is  
Charles Morgan, CPA and the complete address (including zip code  
and post office box, if any) of its registered office IN NEW HAMPSHIRE is (agent's business address)  
31 Old Etna Rd, Suite N4, Lebanon, NH 03766

SEVENTH: The sale or offer for sale of any ownership interests in this business will comply with the  
requirements of the New Hampshire Uniform Securities Act (RSA 421-B).

EIGHTH: The principal purpose or purposes which it proposes to pursue in the transaction of business in  
New Hampshire are Commercial & residential construction,  
Commercial snow removal



NINTH: The names and usual business addresses of its current officers and directors are: (If there are additional officers or directors, attach additional sheet OR if the laws of the state of incorporation do not require directors, indicate below.)

<u>Name</u>	<u>Title</u>	<u>Address</u>
<b><u>OFFICERS</u></b>		
<u>Raymond S. Nott</u>	<u>President</u>	<u>1870 Jericho St.</u> <u>WRFt VT 05001</u>
<u>Raymond S. Nott II</u>	<u>Vice President</u>	<u>185 Equestrian Way</u> <u>WRFt VT 05001</u>
<b><u>DIRECTORS</u></b>		

By Raymond S. Nott II  
Signature of its Vice President

Raymond S. Nott II  
Print or type name

Date signed: 10/27/08

DISCLAIMER: All documents filed with the Corporate Division become public records and will be available for public inspection in either tangible or electronic form.

Mail fees, DATED & SIGNED ORIGINAL, ORIGINAL CERTIFICATE OF LEGAL EXISTENCE OR GOOD STANDING ISSUED BY THE STATE OR COUNTRY OF INCORPORATION AND FORM SRA (See Notes 6 & 7) to: Corporate Division, Department of State, 107 North Main Street, Concord, NH 03301-4989.

STATE OF VERMONT  
OFFICE OF SECRETARY OF STATE

Certificate of Good Standing

I, Deborah L. Markowitz, Vermont Secretary of State, do hereby certify that  
according to the records of this office

**NOTT'S EXCAVATING, INC.**

a corporation formed under the laws of the State of Vermont

was filed for record in this office on March 08, 1999

I further certify that the corporation has perpetual duration, that its most recent annual  
report is on file, and, as of this date, articles of dissolution/withdrawal have not been  
filed.

October 24, 2008

Given under my hand and the seal  
of the State of Vermont, at  
Montpelier, the State Capital



Deborah Markowitz  
Secretary of State



**Form SRA – Addendum to Business Organization and Registration Forms  
Statement of Compliance with New Hampshire Securities Laws**

**Part I – Business Identification and Contact Information**

Business Name: Nott's Excavating, Inc.  
Business Address (include city, state, zip): 1870 Jericho St, WRJct VT 05001  
Telephone Number: 802-295-2734 E-mail: NottExcavating@comcast.net  
Contact Person: Raymond S. Nott II  
Contact Person Address (if different): \_\_\_\_\_

**Part II – Check ONE of the following items in Part II.** If more than one item is checked, the form will be rejected.  
[PLEASE NOTE: Most small businesses registering in New Hampshire qualify for the exemption in Part II, Item 1 below. However, you must insure that your business meets all of the requirements spelled out in A), B), and C)]:

1.  Ownership interests in this business are exempt from the registration requirements of the state of New Hampshire because the business meets ALL of the following three requirements:  
A) This business has 10 or fewer owners; and  
B) Advertising relating to the sale of ownership interests has not been circulated; and  
C) Sales of ownership interests – if any – will be completed within 60 days of the formation of this business.
2.  This business will offer securities in New Hampshire under another exemption from registration or will notice file for federal covered securities. Enter the citation for the exemption or notice filing claimed - \_\_\_\_\_
3.  This business has registered or will register its securities for sale in New Hampshire. Enter the date the registration statement was or will be filed with the Bureau of Securities Regulation - \_\_\_\_\_
4.  This business was formed in a state other than New Hampshire and will not offer or sell securities in New Hampshire.

**Part III – Check ONE of the following items in Part III:**

1.  This business is not being formed in New Hampshire.
2.  This business is being formed in New Hampshire and the registration document states that any sale or offer for sale of ownership interests in the business will comply with the requirements of the New Hampshire Uniform Securities Act.

**Part IV – Certification of Accuracy**

(NOTE: The information in Part IV must be certified by: 1) all of the incorporators of a corporation to be formed; or 2) an executive officer of an existing corporation; or 3) all of the general partners or intended general partners of a limited partnership; or 4) one or more authorized members or managers of a limited liability company; or 5) one or more authorized partners of a registered limited liability partnership or foreign registered limited liability partnership.)

I (We) certify that the information provided in this form is true and complete. (Original signatures only)

Name (print): Raymond S. Nott II Signature: Raymond S. Nott II  
Date signed: 10/27/08  
Name (print): \_\_\_\_\_ Signature: \_\_\_\_\_  
Date signed: \_\_\_\_\_  
Name (print): \_\_\_\_\_ Signature: \_\_\_\_\_  
Date signed: \_\_\_\_\_